

## ARMSTRONG TEASDALE LLP

One Metropolitan Square, Suite 2600  
 St. Louis, Missouri 63102-2740  
 Phone: (314) 621-5070  
 Fax: (314) 621-5065  
[www.armstrongteasdale.com](http://www.armstrongteasdale.com)

CERTIFICATE OF FACSIMILE TRANSMISSION TO THE  
UNITED STATES PATENT AND TRADEMARK OFFICEDate: *March 29, 2004*RECEIVED  
CENTRAL FAX CENTER

**Examiner:** Thuy V. Tran  
**Art Unit:** 2821  
**Fax:** (703) 872-9306  
**From:** Patrick W. Rasche

: **RE: U.S. Patent Application**  
 : **Serial No.: 09/997,705**  
 : **Applicant: Sergio A. Vinocur, et al.**  
 : **Atty. Dkt. No.: 9D-HR-19788**

MAR 29 2004

OFFICIAL

**DOCUMENTS SUBMITTED WITH TRANSMISSION:**

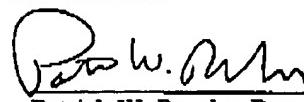
*Amendment Transmittal (3 pgs.); Amendment in Response to Final Office Action dated ( pg.)*  
*Submission of Marked Up Claims (pgs.)*

*Total pages including cover page:  
 If all pages are not received, please contact: Michele at Ext. 7321*

**RE: The above referenced U.S. Patent Application**  
**Title: APPARATUS AND METHOD FOR OPERATING AN APPLIANCE LIGHT**  
**Filed: November 30, 2001**

## CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that these papers are being facsimile transmitted to the U.S. Patent and Trademark Office,  
 Facsimile Number (703) 872-9306 on the date shown below.

Date: March 29, 2004


Patrick W. Rasche, Reg. No.: 37,916

*The information contained in this facsimile message is information protected by attorney-client and/or the attorney/work product privilege. It is intended only for the use of the individual named above and the privileges are not waived by virtue of this having been sent by facsimile. If the person actually receiving this facsimile or any other reader of the facsimile is not the named recipient or the employee or agent responsible to deliver it to the named recipient, any use, dissemination, distribution, or copying of the communication is strictly prohibited. If you have received this communication in error, please immediately notify us by telephone and return the original message to us at the above address via U.S. Postal Service.*

**\*IF YOU DO NOT RECEIVE ALL PAGES, PLEASE CONTACT US IMMEDIATELY AT (314) 621-5070.**

PATENT  
Attorney Docket No.: 9DHR-19788

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Sergio A. Vinocur, et al.

: Group Art Unit: 2821

Serial Number: 09/997,705

: Examiner: Tran, Thuy V.

Filed: November 30, 2001

For: APPARATUS AND METHOD FOR  
OPERATING AN APPLIANCE LIGHT

Mail Stop: AF  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

TRANSMITTAL

1. Transmitted herewith is: Amendment in response to Final Office Action dated January 28, 2004 (9 pgs.); and Certificate of Transmission via Facsimile

STATUS

2. Applicant

claims small entity status.

is other than a small entity.

---

CERTIFICATE OF MAILING/TRANSMISSION

CERTIFICATE OF MAILING BY EXPRESS MAIL TO  
THE COMMISSIONER FOR PATENTS

FACSIMILE

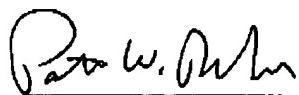
Express Mail No.: ELUS

Date:

I hereby certify that the documents listed above are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above in an envelope addressed to: Mail Stop: , Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date: March 29, 2004

transmitted by facsimile to the Patent and  
Trademark Office  
Via Facsimile: (703) 872-9306

  
Patrick W. Rasche  
Reg No.: 37,916

**EXTENSION OF TERM**

3. The proceedings herein are for a patent application and the provisions of 37 C.F.R. 1.136 apply.

(complete (a) or (b), as applicable)

- (a) \_\_\_\_\_ Applicant petitions for an extension of time under 37 C.F.R. 1.136  
(Fees: 37 C.F.R. 1.17(a)-(d) for the total number of months checked below:)

Extension for response within:	Other than small entity Fee	Small entity Fee (if applicable)
first month	\$ 110.00	\$ 5.00
second month	\$ 420.00	\$ 210.00
third month	\$ 950.00	\$ 475.00
fourth month	\$1,480.00	\$ 740.00
fifth month	\$2,010.00	\$1,005.00

Fee: \$ \_\_\_\_\_

If an additional extension of time is required, please consider this a petition therefor.

*(Check and complete the next item, if applicable)*

An extension of \_\_\_\_\_ months has already been secured. The fee paid therefor \$ \_\_\_\_\_ is deducted from the total fee due for the total months of extension now requested.

Extension fee due with this request \$ \_\_\_\_\_

OR

- (b)  Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition for extension of time.

### FEE FOR CLAIMS

4. The fee for claims (37 C.F.R. 1.16(b)-(d)) has been calculated as shown below:

	(Col. 1)		(Col. 2)		(Col. 3)	SMALL ENTITY	OTHER THAN SMALL ENTITY
	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NO. PREVIOUSLY PAID FOR		PRESENT EXTRA	ADDITIONAL RATE FEE	OR
TOTAL	20	MINUS	20	=0	x \$9 = \$0		x \$18 = \$0
INDEP.	5	MINUS	3	=2	x \$43 = \$86		x \$86 = \$172.00
<u>FIRST PRESENTATION OF MULTIPLE DEP. CLAIM</u>					+ \$145 = \$290		+ \$290 = \$
					TOTAL ADDITIONAL FEE \$	OR	TOTAL ADDITIONAL FEE \$172.00

(a)        No additional fee for Claims is required

**OR**

(b) ✓ Total additional fee for claims required \$172.00

### FEE PAYMENT

5.        Attached is a check in the sum of \$\_\_\_\_\_

✓ Charge Deposit Account No. 01-2384 the sum of \$172.00.  
A duplicate of this transmittal is attached.

### FEE DEFICIENCY

6. ✓ If any additional extension and/or fee is required, charge Deposit Account No. 01-2384.

### AND/OR

✓ If any additional fee for claims is required, charge Deposit Account No. 01-2384.

7.        Other:

Patrick W. Rasche  
Reg. No.: 37,916  
ARMSTRONG TEASDALE LLP  
One Metropolitan Square, Suite 2600  
St. Louis, MO 63102